Sample Current and Pending (Other) Support Case Studies

Case Study #1:

Dr. Rosa Sanchez signs an agreement to serve on the Board of Directors for a new gene-therapy based start-up company. Dr. Rosa field of expertise is gene therapy. **Dr. Rosa DOES need to disclose this activity because it is related to her research portfolio, the knowledge she gains in this role could impact future funding, and there is a potential impact to time commitment/level of effort.**

Case Study #2:

Dr. Ben Southerland signs an agreement to consult for a start-up company that is outside of his field of research. The agreement has terms and conditions that prevent Dr. Southerland from revealing how much he will be paid and from discussing the activity in any way that would reveal the company’s name or business activities. **Dr. Southerland DOES need to disclose this activity because the consulting entity has required him to keep critical details involving finances and the company’s identity confidential. When disclosing it, Dr. Southerland may be discreet in his description and use summarized phrasing. If the Federal agency wants more information, they will ask for it later.**

Case Study #3:

Dr. Akari Koh receives some mouse cell lines from a colleague, which will be used in her proposed project. The estimated value is $3,000 and there is no time commitment attached. **Dr. Koh DOES NOT need to disclose this because the value is under $5,000 and there is no time commitment.**

Case Study #4:

Dr. Samuel Brody has been loaned a Postdoctoral Researcher from another lab within his School for 25% of their time. The Postdoctoral Researcher makes $42,000 per year. Dr. Brody has agreed to mentor the individual while they are in his lab but will not have the individual work on the proposed project. **Dr. Brody DOES need to disclose this in-kind resource because the estimated value is greater than $5,000, it is not intended for use on the project being proposed, and because there is a time commitment attached.**

Case Study #5:
Dr. Dmitri Anatoly has accepted a visiting scholar in his laboratory, but they will not work on the proposed project. The scholar’s expenses are being paid by a third-party entity. The Dr. Anatoly DOES need to disclose this as an in-kind resource because the individual will not work on the proposed project and their expenses are being paid by an external entity.

Case Study #6:

Dr. Muhamed Hassan has been loaned a research assistant from another lab in another School at UNC for 30% of their time. The research assistant makes $40,000 per year. Dr. Hassan INTENDS to have this research assistant work on the proposed project. Dr. Hassan DOES NOT need to disclose this because the research assistant will be working on the proposed project. Dr. Hassan does, however, need to include it in their Facilities, Equipment, and Other Resources document (or equivalent document).

Case Study #7:

Dr. Baek-Hyeon Choi has received fifteen assay kits from a colleague. The estimated value of the kits is $10,000. The kits will not be used for the proposed project but their donation to Dr. Choi came with the stipulation that he return any unused kits at the end of 12 months. Dr. Choi DOES need to disclose this in-kind resource because its value exceeds $5,000, it will not be used in the proposed project, and it comes with a time commitment.

Case Study #8:

Dr. Hannah Miller is participating in a fellowship program that provides her a stipend and living expenses directly. She has learned that the fellowship program receives funding from a foreign government entity. Even though Dr. Miller is not receiving direct funding from the foreign government entity, she DOES need to disclose this fellowship because of its linkage to that entity.

Case Study #9:

Dr. Jonathan Handley has been offered a short-term opportunity to travel to England to perform research. His travel, if the trip is approved by UNC, would be paid for by an external entity. Dr. Handley DOES need to disclose this because an external entity is paying for the travel.
Case Study #11:

Dr. Maria Ramirez previously worked at the University of Pennsylvania (UPENN), which provided her with a start-up financial package at time of hire. Dr. Ramirez then transferred to UNC and UPENN allowed her to take the remaining funds in her start-up package with her, some of which still exists. **Dr. Ramirez DOES need to disclose the remaining funds because they were provided to her by a non-UNC organization.**

Case Study #12:

Dr. Thomas Navarro has a start-up company that licenses intellectual property (IP) from a non-UNC entity. **Dr. Navarro DOES need to disclose the value of the licensed IP because it came from a non-UNC organization.**